

## UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

# REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590

MAY 1 2 2010

REPLY TO THE ATTENTION OF

LC-8J

<u>CERTIFIED MAIL</u> Receipt No.7009 1680 0000 7667 3181

Mr. Russ Garrison Stepan Company 22 West Frontage Road Northfield, Illinois 60093

FIFRA-05-2010-0015

Sears, Roebuck and Co. and Stepan Company, Docket No.\_\_\_

Dear Mr. Garrison:

Enclosed is a copy of a fully executed Consent Agreement and Final Order, in resolution of the above case. This document was filed on May 12, 2010 with the Regional Hearing Clerk.

The civil penalty in the amount of \$272,800 is to be paid in the manner described in paragraphs 241, 242 and 243. Please be certain that the number <u>BD 2751045P015</u> and the docket number are written on both the transmittal letter and on the check. Payment is due by June 11, 2010 (within 30 calendar days of the filing date).

Thank you for your cooperation in resolving this matter.

Sincerely

Estrella Calvo

Pesticides and Toxics Compliance Section

Chemicals Management Branch

**Enclosures** 



### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

# REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590

MAY 1 2 2010

REPLY TO THE ATTENTION OF:

LC-8J

<u>CERTIFIED MAIL</u> <u>Receipt No.7009 1680 0000 7667 3174</u>

Mr. Mark D. Molay Law Department Sears Holdings Management Corp. f/k/a Sears, Roebuck & Company 3333 Beverly Road, B6-324A Hoffman Estates, Illinois 60179

FIFRA-05-2010-0015
Sears, Roebuck and Co. and Stepan Company, Docket No.\_\_\_\_\_

Dear Mr. Molay:

Enclosed is a copy of a fully executed Consent Agreement and Final Order, in resolution of the above case. This document was filed on May 12, 2010 with the Regional Hearing Clerk.

The civil penalty in the amount of \$272,800 is to be paid in the manner described in paragraphs 241, 242 and 243. Please be certain that the number <u>BD 2751045P015</u> and the docket number are written on both the transmittal letter and on the check. Payment is due by June 11, 2010 (within 30 calendar days of the filing date).

Thank you for your cooperation in resolving this matter.

Sincerely,

Estrella Calvo

Pesticides and Toxics Compliance Section

Chemicals Management Branch

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Enclosures

# UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 5

In the Matter of:	)	Docket No. FIFRA-05-2010-0015
	)	
Sears, Roebuck and Co.	)	Proceeding to Assess a Civil Penalty
Hoffman Estates, Illinois	)	Under Section 14(a) of the Federal
	)	Insecticide, Fungicide, and Rodenticide
and	)	Act, 7 U.S.C. § 136l(a)
	)	
Stepan Company	)	
Northfield, Illinois	)	DEGEIVEIN
	)	THE TO THE !!!
Respondents.	)	
		MAY 1 2 2010

Consent Agreement and Final ORIGIONAL HEARING CLERK
U.S. ENVIRONMENTAL
Preliminary Statement
DROTECTION AGENCY.

- 1. This is an administrative action commenced and concluded under Section 14(a) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), 7 U.S.C. § 136l(a), and Sections 22.13(b) and 22.18(b)(2) and (3) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules) as codified at 40 C.F.R. Part 22.
- The Complainant, the Director of the Land and Chemicals Division,
   U.S. Environmental Protection Agency, Region 5, has been delegated the authority to settle this matter.
- 3. The Respondents are Sears, Roebuck and Co. (Sears), a corporation doing business in the State of Illinois and Stepan Company (Stepan), a corporation doing business in the State of Illinois.
- 4. Where the parties agree to settle one or more causes of action before the filing of a complaint, the administrative action may be commenced and concluded simultaneously by the

issuance of a consent agreement and final order (CAFO). 40 C.F.R. § 22.13(b).

- 5. The parties agree that settling this action without the filing of a complaint or the adjudication of any issue of fact or law is in their interest and in the public interest.
- 6. Respondents consent to the assessment of the civil penalty specified in this CAFO, and to the terms of this CAFO.

# Jurisdiction and Waiver of Right to Hearing

- 7. Respondents admit the jurisdictional allegations in this CAFO and neither admit nor deny the factual allegations in this CAFO.
- 8. Respondents waive their right to request a hearing as provided at 40 C.F.R. § 22.15(c), any right to contest the allegations in this CAFO, and their right to appeal this CAFO.
  - 9. Respondents certify that they are complying with FIFRA, 7 U.S.C. §§ 136 to 136y.

### **Statutory and Regulatory Background**

- 10. Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), states, in pertinent part, that it is unlawful for any person in any state to distribute or sell to any person any pesticide that is not registered under Section 3 of FIFRA, 7 U.S.C. § 136a or whose registration has been canceled.
- 11. Section 12(a)(1)(E) of FIFRA, 7. U.S.C. §136j(a)(1)(E), states, in pertinent part, that it is unlawful for any person in any state to distribute or sell to any person any pesticide which is adulterated or misbranded.
- 12. A substance is considered to be intended for a pesticidal purpose, and thus to be a pesticide requiring registration, if the person who distributes or sells the substance claims, states,

or implies (by labeling or otherwise) that the substance can or should be used as a pesticide.

40 C.F.R. § 152.15(a)(1).

13. 40 C.F.R. § 152.132 states that a registrant may distribute or sell his registered product under another person's name and address instead of (or in addition to) his own. The product is referred to as a "distributor product."

14. 40 C.F.R. § 152.132 states that supplemental distribution is permitted upon notification to the Agency if all of the following conditions are met: (a) The registrant has submitted to the Agency for each distributor product a statement signed by both the registrant and the distributor listing the names and addresses of the registrant and the distributor, the distributor's company number, the additional brand name(s) to be used, and the registration number of the registered product, (b) The distributor product is produced, packaged and labeled in a registered establishment operated by the same producer who produces, packages, and labels the registered product, (c) The distributor product is not repackaged (remains in the producer's unopened containers), (d) The label of the distributor product is the same as that of the registered product, except that the product name of the distributor product may be different, the name and address of the distributor may appear instead of that of the registrant, the registration number of the registered product must be followed by a dash, followed by the distributor's company number, the establishment number must be that of the final establishment at which the product was produced, and specific claims may be deleted, provided that no other changes are necessary, and (e) Voluntary cancellation of a product applies to the registered product and all distributor products distributed or sold under that registration number.

15. 40 C.F.R. § 152.132 also specifies that a distributor is considered an agent of the registrant for all intents and purposes under FIFRA, and both the registrant and the distributor may be held liable for violations pertaining to the distributor product.

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- 16. The term "person" as defined in Section 2(s) of FIFRA, 7 U.S.C. § 136(s) "means any individual, partnership, association, corporation, or any organized group of persons whether incorporated or not."
- 17. The term "distribute or sell" is defined, in Section 2(gg) of FIFRA, 7 U.S.C. § 136(gg) and 40 C.F.R. § 152.3, as "to distribute, sell, offer for sale, hold for distribution, hold for shipment, or receive and (having so received) deliver or offer to deliver."
- 18. The term "pests" is defined in Section 2(t) of FIFRA, 7 U.S.C. § 136(t) and further defined in 40 C.F.R. § 152.5(c) "as any insect, rodent, nematode, fungus, weed, or any other form of terrestrial or aquatic plant or animal life or virus, bacteria, or other micro-organism..."
- 19. The term "pesticide" is defined at Section 2(u) of FIFRA, 7 U.S.C. § 136(u) and 40 C.F.R. § 152.3 and is generally regarded as any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest.
- 20. Section 2(q) of FIFRA, 7.U.S.C. § 136(q)(1) states, in pertinent part, that a pesticide is "misbranded" if, among other things, (a) its labeling bears any statement, design, or graphic representation relative thereto or to its ingredients which is false or misleading in any particular; or (b) the labeling accompanying it does not contain directions for use which are necessary for effecting the purpose for which the product is intended and if complied with, together with any requirements imposed under section 3(d) of this Act, are adequate to protect

health and the environment.

21. The Administrator of EPA may assess a civil penalty against any registrant, commercial applicator, wholesaler, dealer, retailer, other distributor who violates any provision of FIFRA of up to \$6,500 for each offense that occurred after March 15, 2004 through January 12, 2009 and up to \$7,500 for each offense that occurred after January 12, 2009, pursuant to Section 14(a)(1) of FIFRA, 7 U.S.C. § 136*l*(a)(1), and 40 C.F.R. Part 19.

# **Factual Allegations and Alleged Violations**

- 22. Respondent, Stepan, is a "person" as defined at Section 2(s) of FIFRA, 7 U.S.C. § 136(s).
- 23. On or about June 10, 1982, Stepan, located at 22 W. Frontage Road, Northfield, Illinois 60093, registered its pesticide product, "Humidifier Bacteria-Algae Treatment" with EPA.
- 24. EPA assigned EPA Registration Number (EPA Reg. No.) 1839-120 to Stepan's pesticide product, "Humidifier Bacteria-Algae Treatment."
- 25. Respondent, Stepan, is a "registrant" as defined at Section 2(y) of FIFRA, 7 U.S.C. § 136(y).
- 26. On or about January 10, 1989, Stepan and Sears submitted a Notice of Supplemental Registration of Distributor to EPA for the pesticide product "Humidifier Bacteria-Algae Treatment."
- 27. The Notice of Supplemental Registration of Distributor listed the distributor as Sears, Roebuck and Company.

- 28. Sears is located at 3333 Beverly Road, Hoffman Estates, Illinois 60179.
- 29. The Notice of Supplemental Registration of Distributor listed the distributor product name as "Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment."
  - 30. The company number assigned to Sears is 539.
- 31. Therefore, the EPA Reg. No. for the pesticide product "Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment" was 1839-120-539.
- 32. "Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment," EPA Reg. No. 1839-120-539, is a "pesticide" as that term is defined in Section 2(u) of FIFRA, 7 U.S.C. § 136(u).
- 33. On about January 29, 1990, Stepan and Sears submitted "Quiet Comfort (R)

  Evaporative Humidifier Bacteriostat" as an alternate name for pesticide product "Quiet Comfort

  Evaporative Humidifier Bacteriostatic Treatment," EPA Reg. No. 1839-120-539.
- 34. Respondent, Sears, is a "person" as defined at Section 2(s) of FIFRA, 7 U.S.C. § 136(s).
- 35. Respondent, Sears, is considered an agent of the registrant, Stepan, for all intents and purposes under FIFRA. See 40 C.F.R. § 152.132.
- 36. On April 23, 2002, Stepan voluntarily submitted a Notice of Cancellation for its pesticide product with EPA Reg. No. 1839-120-539.
- 37. As of June 5, 2002, EPA cancelled the distributor product with EPA Reg. No. 1839-120-539.

# **Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment 32 oz. Container**

- 38. On or about July 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 39. On or about August 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 40. On or about September 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 41. On or about October 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 42. On or about November 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 43. On or about December 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 44. On or about January 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 45. On or about February 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 46. On or about March 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 47. On or about April 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.

- 48. On or about May 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 49. On or about June 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 50. On or about July 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 51. On or about August 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 52. On or about September 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 53. On or about October 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 54. On or about November 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 55. On or about December 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 56. On or about January 2009, Sears distributed or sold the distributor product with EPAReg. No. 1839-120-539 to an undisclosed recipient.
- 57. On or about February 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
  - 58. On or about March 2009, Sears distributed or sold the distributor product with EPA

- Reg. No. 1839-120-539 to an undisclosed recipient.
- 59. On or about April 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 60. On or about May 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 61. On or about June 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 62. On or about July 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 63. The labels of the distributor products listed in paragraphs 38 through 62 of this CAFO, including but not limited to the "Directions for Use," did not match the EPA-accepted label for Stepan's pesticide product, EPA Reg. No. 1839-120, dated October 3, 2002.

# Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment 1 Gallon Container

- 64. On or about July 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 65. On or about August 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 66. On or about September 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 67. On or about October 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.

- 68. On or about November 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 69. On or about December 2007, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 70. On or about January 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 71. On or about February 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 72. On or about March 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 73. On or about April 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 74. On or about May 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 75. On or about June 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 76. On or about July 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 77. On or about August 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
  - 78. On or about September 2008, Sears distributed or sold the distributor product with

- EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 79. On or about October 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 80. On or about November 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 81. On or about December 2008, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 82. On or about January 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 83. On or about February 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 84. On or about March 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 85. On or about April 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 86. On or about May 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 87. On or about June 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.
- 88. On or about July 2009, Sears distributed or sold the distributor product with EPA Reg. No. 1839-120-539 to an undisclosed recipient.

89. On or about July 14, 2009, EPA issued a Stop Sale, Use or Removal Order to Sears for its pesticide product with EPA Reg. No. 1839-120-539.

# Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment 32 oz. Container

# Count 1

- 90. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 91. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about July 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 92. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 93. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 94. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about August 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 95. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 3

- 96. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 97. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about September 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 98. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 4

- 99. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 100. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about October 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 101. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 5

102. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.

- 103. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about November 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 104. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 6

- 105. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 106. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about December 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 107. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 108. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 109. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about January 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).

110. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 8

- 111. Complainant incorporates by reference the allegations contained in paragraphs1 through 89 of this CAFO.
- 112. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about February 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 113. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 114. Complainant incorporates by reference the allegations contained in paragraphs1 through 89 of this CAFO.
- 115. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about March 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 116. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 10

- 117. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 118. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about April 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 119. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 11

- 120. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 121. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about May 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 122. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 12

123. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.

- 124. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about June 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 125. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 13

- 126. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 127. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about July 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 128. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 129. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 130. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about August 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).

131. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 15

- 132. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 133. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about September 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 134. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 135. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 136. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about October 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 137. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 17

- 138. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 139. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about November 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 140. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 18

- 141. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 142. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about December 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 143. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 19

144. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.

- 145. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about January 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 146. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 20

- 147. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 148. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about February 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 149. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 150. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 151. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about March 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).

152. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 22

- 153. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 154. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about April 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 155. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 156. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 157. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about May 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 158. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 24

- 159. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 160. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about June 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 161. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 25

- 162. Complainant incorporates by reference the allegations contained in paragraphs1 through 89 of this CAFO.
- 163. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about July 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 164. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# **Quiet Comfort Evaporative Humidifier Bacteriostatic Treatment 1 Gallon Container**

### Count 26

165. Complainant incorporates by reference the allegations contained in paragraphs

1 through 89 of this CAFO.

- 166. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about July 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 167. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 27

- 168. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 169. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about August 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 170. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 171. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 172. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about September 2007, constitutes a violation of Section 12(a)(1)(A) of

FIFRA, 7 U.S.C. § 136j(a)(1)(A).

173. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 29

- 174. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 175. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about October 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 176. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 177. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 178. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about November 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 179. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil

penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 31

- 180. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 181. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about December 2007, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 182. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 32

- 183. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 184. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about January 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 185. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 33

186. Complainant incorporates by reference the allegations contained in paragraphs

1 through 89 of this CAFO.

- 187. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about February 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 188. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 34

- 189. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 190. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about March 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 191. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 192. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 193. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about April 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA,

7 U.S.C. § 136j(a)(1)(A).

194. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 36

- 195. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 196. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about May 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 197. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 198. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 199. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about June 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 200. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil

penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 38

- 201. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 202. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about July 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 203. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### **Count 39**

- 204. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 205. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about August 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 206. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 40

207. Complainant incorporates by reference the allegations contained in paragraphs

1 through 89 of this CAFO.

- 208. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about September 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 209. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 41

- 210. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 211. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about October 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 212. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 213. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 214. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about November 2008, constitutes a violation of Section 12(a)(1)(A) of

FIFRA, 7 U.S.C. § 136j(a)(1)(A).

215. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 43

- 216. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 217. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about December 2008, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 218. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 219. Complainant incorporates by reference the allegations contained in paragraphs1 through 89 of this CAFO.
- 220. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about January 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 221. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil

penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 45

- 222. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 223. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about February 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 224. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 46

- 225. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 226. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about March 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 227. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### Count 47

228. Complainant incorporates by reference the allegations contained in paragraphs

1 through 89 of this CAFO.

- 229. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about April 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 230. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

### **Count 48**

- 231. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 232. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about May 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 233. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

- 234. Complainant incorporates by reference the allegations contained in paragraphs1 through 89 of this CAFO.
- 235. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about June 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA,

7 U.S.C. § 136j(a)(1)(A).

236. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# Count 50

- 237. Complainant incorporates by reference the allegations contained in paragraphs 1 through 89 of this CAFO.
- 238. Sears' distribution or sale of a cancelled distributor product with EPA Reg. No. 1839-120-539, on or about July 2009, constitutes a violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A).
- 239. Sears' violation of Section 12(a)(1)(A) of FIFRA, 7 U.S.C. § 136j(a)(1)(A), subjects Stepan and Sears to the issuance of an Administrative Complaint assessing a civil penalty under Section 14(a) of the FIFRA, 7 U.S.C. § 136l(a).

# **Civil Penalty**

- 240. Pursuant to Section 14(a)(4) of FIFRA, 7 U.S.C. § 136l(a)(4), Complainant determined that an appropriate civil penalty to settle this action is \$272,800. In determining the penalty amount, Complainant considered the appropriateness of the penalty to the size of Respondents' businesses, the effect on Respondents' ability to continue in business, and the gravity of the violation. Complainant also considered EPA's Enforcement Response Policy for FIFRA (ERP), dated July 2, 1990.
  - 241. Within 30 days after the effective date of this CAFO, Respondents must pay a

\$272,800 civil penalty for the FIFRA violations. Respondents must pay the penalty by sending a cashier's or certified check, payable to the "Treasurer, United States of America," to:

[for a check sent by regular U.S. Postal Service mail:]

U.S. EPA Fines and Penalties Cincinnati Finance Center P.O. Box 979077 St. Louis, MO 63197-9000

[for a check sent by overnight mail:]

U.S. Bank 1005 Convention Plaza Mail Station SL-MO-C2GL St. Louis, MO 63101

- 242. The check must note the case title, the docket number of this CAFO and the billing document (BD) number.
- 243. A transmittal letter, stating, Respondents' name, the case title, Respondents' complete address, the case docket number and the BD number must accompany the payment.

  Respondents must send a copy of the check and transmittal letter to:

Regional Hearing Clerk (E-19J) U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, IL 60604

Estrella Calvo (LC-8J)
Pesticides and Toxics Compliance Section
U.S. EPA, Region 5
77 West Jackson Blvd.
Chicago, IL 60604

Nidhi O'Meara (C-14J) Office of Regional Counsel U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, IL 60604

- 244. This civil penalty is not deductible for federal tax purposes.
- 245. If Respondents do not pay the civil penalty timely, EPA may refer the matter to the Attorney General who will recover such amount by action in the appropriate United States district court under Section 14(a)(5) of FIFRA, 7 U.S.C. § 136l(a)(5). The validity, amount and appropriateness of the civil penalty are not reviewable in a collection action.
- 246. Pursuant to 31 C.F.R. § 901.9, Respondents must pay the following on any amount overdue under this CAFO. Interest will accrue on any amount overdue from the date payment was due at a rate established by the Secretary of the Treasury. Respondents must pay a \$15 handling charge each month that any portion of the penalty is more than 30 days past due. In addition, Respondents must pay a 6 percent per year penalty on any principal amount 90 days past due.

### **General Provisions**

- 247. This CAFO resolves Respondents' liability for federal civil penalties for the violations and facts alleged in the CAFO.
- 248. This CAFO does not affect the right of the EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law.
- 249. This CAFO does not affect Respondents' responsibility to comply with FIFRA and other applicable federal, state, and local laws.

- 250. This CAFO is a "final order" for purposes of EPA's ERP for FIFRA.
- 251. The terms of this CAFO bind Respondents, its successors, and assigns.
- 252. Each person signing this agreement certifies that he or she has the authority to sign for the party whom he or she represents and to bind that party to its terms.
  - 253. Each party agrees to bear its own costs and attorney's fees in this action.
  - 254. This CAFO constitutes the entire agreement between the parties.

In the Matter of: Sears, Roebuck and Co. and Stepan Company, Respondents

Sears, Roebuck and Co., Respondent

Mary Tortorice

Vice President/Deputy General Counsel Retail Operations

Sears Holdings Management Corporation, as agent for Sears, Roebuck and Co.

In the Matter of: Sears, Roebuck and Co. and Stepan Company, Respondents

Stepan Company, Respondent

Maket 30, 2010

Date

John V. Venegon

Sice President and General Manager

Surfactants

Stepan Company

In the Matter of: Sears, Roebuck and Co. and Stepan Company, Respondents

# U.S. Environmental Protection Agency, Complainant

5/3/10	Oly Many La
Date	Margaret M. Guerriero
	Director
	Land and Chemicals Division

In the Matter of: Sears, Roebuck and Co. and **Stepan Company** Docket No. FIFRA-05-2010-0015

# Final Order

This Consent Agreement and Final Order, as agreed to by the parties, shall become effective immediately upon filing with the Regional Hearing Clerk. This Final Order concludes this proceeding pursuant to 40 C.F.R. §§ 22.18 and 22.31. IT IS SO ORDERED.

Date

Acting Regional Administrator

U.S. Environmental Protection Agency

Region 5



REGIONAL HEARING CLERK U.S. ENVIRONMENTAL PROTECTION AGENCY

Hamuon

# **CERTIFICATE OF SERVICE**

I hereby certify that an original signed copy of the Consent Agreement and Final Order in resolution of the civil administrative action involving Sears, Roebuck and Co. and Stepan Company was filed on May 12, 2010, with the Regional Hearing Clerk (E-19J), U.S. Environmental Protection Agency, Region 5, 77 West Jackson Boulevard, Chicago, Illinois, 60604, and that I mailed by Certified Mail, Receipt No. 7009 1680 0000 7667 3174, a original signed copy to:

> Mr. Mark D. Molay Law Department Sears Holdings Management Corp. f/k/a Sears, Roebuck & Company 3333 Beverly Road, B6-324A Hoffman Estates, Illinois 60179

and forwarded copies (intra-Agency) to:

Marcy Toney, Regional Judicial Officer, ORC/C-14J Nidhi O'Meara, Regional Judicial Officer, ORC/C-14J Eric Volck, Cincinnati Finance/MWD

Frederick Brown

Chemicals Management Branch

U.S. EPA - Region 5

77 West Jackson Boulevard

Chicago, Illinois 60604

FIFRA-05-2010-0015 Docket No.



REGIONAL HEARING CLERK U.S. ENVIRONMENTAL PROTECTION AGENCY